



Section 1
3

Practitioner Docket No. 1540/139

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Prokai, Laszlo; Simpkins, James W.

Application No.: 09/893,324

Group No.: 1614

1616

Filed: June 27, 2001

Examiner: N/A

For: Alkyl Ether Modified Polycyclic Compounds Having a Terminal Phenol and Uses for Protection of Cells

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS
-- NONPROVISIONAL APPLICATION**

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed August 17, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

SMALL ENTITY STATUS

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

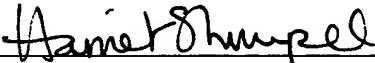
I hereby certify that this correspondence is, on the date shown below, being:

MAILING

X deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

transmitted by facsimile to the Patent and Trademark Office at (703) _____.


Signature
Harriet M. Strimpel

(type or print name of person certifying)

Date: September 14, 2001

III. A statement that this filing is by a small entity is attached.

COMPLETION FEES

IV.

1. Filing Fee

Original patent application (37 C.F.R. Section 1.16(a))	\$355.00
--	----------

2. Fees for Claims

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$80.00
--	---------

Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$225.00
---	----------

3. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
--	---------

**4. Assignment (See "ASSIGNMENT
COVER SHEET")** \$40.00

Total Completion Fees \$765.00

EXTENSION OF TIME

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VI. The total fee due is:

Completion fees	\$765.00
Extension fee (if any)	\$0.00
Total Fee Due	\$765.00

PAYMENT OF FEES

VII. Enclosed is a check in the amount of 765.00.

Please charge Account No. 19-4972 for any fees that may be due by this paper.

Date: September 14, 2001

Harriet Strimpel → Keith wood
Harriet M. Strimpel
Registration No. 37,008
Bromberg & Sunstein LLP
125 Summer Street
Boston, MA 02110-1618
US
-617-443-9292
Customer No. 02101


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,324	06/27/2001	Laszlo Prokai	1540/139

02101
 BROMBERG & SUNSTEIN LLP
 125 SUMMER STREET
 BOSTON, MA 02110-1618

CONFIRMATION NO. 2061
FORMALITIES LETTER



OC000000006438478

Date Mailed: 08/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
FILED UNDER 37 CFR 1.53(b)
Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$610.
 - \$450 for 25 total claims over 20.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1450.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/19/2001 MBERHE 00000100 09893324

01 FC:201	355.00	OP
02 FC:205	65.00	OP
03 FC:203	225.00	OP
04 FC:202	80.00	OP